

# A MAXIMALITY RESULT FOR ORTHOGONAL QUANTUM GROUPS

TEODOR BANICA, JULIEN BICHON, BENOÎT COLLINS, AND STEPHEN CURRAN

ABSTRACT. We prove that the quantum group inclusion  $O_n \subset O_n^*$  is “maximal”, where  $O_n$  is the usual orthogonal group and  $O_n^*$  is the half-liberated orthogonal quantum group, in the sense that there is no intermediate compact quantum group  $O_n \subset G \subset O_n^*$ . In order to prove this result, we use: (1) the isomorphism of projective versions  $PO_n^* \simeq PU_n$ , (2) some maximality results for classical groups, obtained by using Lie algebras and some matrix tricks, and (3) a short five lemma for cosemisimple Hopf algebras.

## INTRODUCTION

Quantum groups were introduced by Drinfeld [13] and Jimbo [15] in order to study “non-classical” symmetries of complex systems. This was followed by the fundamental work of Woronowicz [21], [22] on compact quantum groups. The key examples which were constructed by Drinfeld and Jimbo, and further analyzed by Woronowicz, were  $q$ -deformations  $G_q$  of classical Lie groups  $G$ . The idea is as follows: consider the commutative algebra  $A = C(G)$ . For a suitable choice of generating “coordinates” of this algebra, replace commutativity by the  $q$ -commutation relations  $ab = qba$ , where  $q > 0$  is a parameter. In this way one obtains an algebra  $A_q = C(G_q)$ , where  $G_q$  is a quantum group. When  $q = 1$  one then recovers the classical group  $G$ .

For  $G = O_n, U_n, S_n$  it was later discovered by Wang [19], [20] that one can also obtain compact quantum groups by “removing” the commutation relations entirely. In this way one obtains “free” versions  $O_n^+, U_n^+, S_n^+$  of these classical groups. This construction has been axiomatized in [11] in terms of the “easiness” condition for compact quantum groups, and has led to several applications in probability. See [9], [10].

It is clear from the construction that one has  $G \subset G^+$  for  $G = O_n, U_n, S_n$ . Since  $G^+$  can be viewed a “liberation” of  $G$ , it is natural to wonder whether there are any intermediate quantum groups  $G \subset G' \subset G^+$ , which could be seen as “partial liberations” of  $G$ . For  $O_n, S_n$  this problem has been solved in the case of “easy” intermediate quantum groups [12], [8]. For  $S_n$  there are no intermediate easy quantum groups  $S_n \subset G' \subset S_n^+$ . However for  $O_n$  there is exactly one intermediate easy quantum group  $O_n \subset O_n^* \subset O_n^+$ , called the “half-liberated” orthogonal group, which was constructed in [11]. At the level of relations

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among coordinates, this is constructed by replacing the commutation relations  $ab = ba$  with the half-commutation relations  $abc = cba$ .

In the larger category of compact quantum groups it is an open problem whether there are intermediate quantum groups  $S_n \subset G \subset S_n^+$ , or  $O_n \subset G \subset O_n^+$  with  $G \neq O_n^*$ . This is an important question for better understanding the “liberation” procedure of [11]. At  $n = 4$  (the smallest value at which  $S_n \neq S_n^+$ ), it follows from the results in [5] that the inclusion  $S_n \subset S_n^+$  is indeed maximal, and it was conjectured in [6] that this is the case, for any  $n \in \mathbb{N}$ . Likewise the inclusion  $O_n \subset O_n^* \subset O_n^+$  is known to be maximal at  $n = 2$ , thanks to the results of Podleś in [16]. In general it is likely that these two problems are related to each other via combinatorial invariants [12] or cocycle twists [7].

In this paper we make some progress towards solving this problem in the orthogonal case, by showing that the inclusion  $O_n \subset O_n^*$  is maximal. A key tool in our analysis will be the fact the “projective version” of  $O_n^*$  is the same as that of the classical unitary group  $U_n$ . By using a version of the five lemma for cosemisimple Hopf algebras (following ideas from [1], [3]), we are thus able to reduce the problem to showing that the inclusion of groups  $PO_n \subset PU_n$  is maximal. We then solve this problem by using some Lie algebra techniques inspired from [4], [14].

The paper is organized as follows: Section 1 contains background and preliminaries. In Section 2 we prove that  $PO_n \subset PU_n$  is maximal. In Section 3 we prove a short five lemma for cosemisimple Hopf algebras, which may be of independent interest. We then use this in Section 4 to prove our main result, namely that  $O_n \subset O_n^*$  is maximal.

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## 1. ORTHOGONAL QUANTUM GROUPS

In this section we briefly recall the free and half-liberated orthogonal quantum groups from [19], [11], and the notion of “projective version” for a unitary compact quantum group. We will work at the level of Hopf  $*$ -algebras of representative functions.

First we have the following fundamental definition, arising from Woronowicz’ work [21].

**Definition 1.1.** *A unitary Hopf algebra is a  $*$ -algebra  $A$  which is generated by elements  $\{u_{ij} | 1 \leq i, j \leq n\}$  such that  $u = (u_{ij})$  and  $\bar{u} = (u_{ij}^*)$  are unitaries, and such that:*

- (1) *There is a  $*$ -algebra map  $\Delta : A \rightarrow A \otimes A$  such that  $\Delta(u_{ij}) = \sum_{k=1}^n u_{ik} \otimes u_{kj}$ .*
- (2) *There is a  $*$ -algebra map  $\varepsilon : A \rightarrow \mathbb{C}$  such that  $\varepsilon(u_{ij}) = \delta_{ij}$ .*
- (3) *There is a  $*$ -algebra map  $S : A \rightarrow A^{op}$  such that  $S(u_{ij}) = u_{ji}^*$ .*

If  $u_{ij} = u_{ij}^*$  for  $1 \leq i, j \leq n$ , we say that  $A$  is an orthogonal Hopf algebra.

It follows that  $\Delta, \varepsilon, S$  satisfy the usual Hopf algebra axioms. The motivating examples of unitary (resp. orthogonal) Hopf algebra is  $A = \mathcal{R}(G)$ , the algebra of representative function of a compact subgroup  $G \subset U_n$  (resp.  $G \subset O_n$ ). Here the standard generators  $u_{ij}$  are the coordinate functions which take a matrix to its  $(i, j)$ -entry.

In fact every commutative unitary Hopf algebra is of the form  $\mathcal{R}(G)$  for some compact group  $G \subset U_n$ . In general we use the suggestive notation “ $A = \mathcal{R}(G)$ ” for any unitary (resp. orthogonal) Hopf algebra, where  $G$  is a *unitary (resp. orthogonal) compact quantum group*. Of course any group-theoretic statements about  $G$  must be interpreted in terms of the Hopf algebra  $A$ .

It can be shown that a unitary Hopf algebra has an enveloping  $C^*$ -algebra, satisfying Woronowicz’ axioms in [21]. In general there are several ways to complete a unitary Hopf algebra into a  $C^*$ -algebra, but in this paper we will ignore this problem and work at the level of unitary Hopf algebras.

The following examples of Wang [19] are fundamental to our considerations.

**Definition 1.2.** *The universal unitary Hopf algebra  $A_u(n)$  is the universal  $*$ -algebra generated by elements  $\{u_{ij} | 1 \leq i, j \leq n\}$  such that the matrices  $u = (u_{ij})$  and  $\bar{u} = (u_{ij}^*)$  in  $M_n(A_u(n))$  are unitaries.*

*The universal orthogonal Hopf algebra  $A_o(n)$  is the universal  $*$ -algebra generated by self-adjoint elements  $\{u_{ij} | 1 \leq i, j \leq n\}$  such that the matrix  $u = (u_{ij})_{1 \leq i, j \leq n}$  in  $M_n(A_o(n))$  is orthogonal.*

The existence of the Hopf algebra structural morphisms follows from the universal properties of  $A_u(n)$  and  $A_o(n)$ . As discussed above, we use the notations  $A_u(n) = \mathcal{R}(U_n^+)$  and  $A_o(n) = \mathcal{R}(O_n^+)$ , where  $U_n^+$  is the *free unitary quantum group* and  $O_n^+$  is the *free orthogonal quantum group*.

Note that we have  $\mathcal{R}(O_n^+) \twoheadrightarrow \mathcal{R}(O_n)$ , in fact  $\mathcal{R}(O_n)$  is the quotient of  $\mathcal{R}(O_n^+)$  by the relations that the coordinates  $u_{ij}$  commute. At the level of quantum groups, this means that we have an inclusion  $O_n \subset O_n^+$ .

In other words,  $\mathcal{R}(O_n^+)$  is obtained from  $\mathcal{R}(O_n)$  by “removing commutativity” among the coordinates  $u_{ij}$ . It was discovered in [11] that one can obtain a natural orthogonal quantum group by requiring instead that the coordinates “half-commute”.

**Definition 1.3.** *The half-liberated othogonal Hopf algebra  $A_o^*(n)$  is the universal  $*$ -algebra generated by self-adjoint elements  $\{u_{ij} | 1 \leq i, j \leq n\}$  which half-commute in the sense that  $abc = cba$  for any  $a, b, c \in \{u_{ij}\}$ , and such that the matrix  $u = (u_{ij})_{1 \leq i, j \leq n}$  in  $M_n(A_o^*(n))$  is orthogonal.*

The existence of the Hopf algebra structural morphisms again follows from the universal properties of  $A_o^*(n)$ . We use the notation  $A_o^*(n) = \mathcal{R}(O_n^*)$ , where  $O_n^*$  is the *half-liberated orthogonal quantum group*. Note that we have  $\mathcal{R}(O_n^+) \twoheadrightarrow \mathcal{R}(O_n^*) \twoheadrightarrow \mathcal{R}(O_n)$ , i.e.  $O_n \subset$

$O_n^* \subset O_n^+$ . As discussed in the introduction, our aim in this paper is to show that the inclusion  $O_n \subset O_n^*$  is maximal. A key tool in our analysis will be the projective version of a unitary quantum group, which we now recall.

**Definition 1.4.** *The projective version of a unitary compact quantum group  $G \subset U_n^+$  is the quantum group  $PG \subset U_{n^2}^+$ , having as basic coordinates the elements  $v_{ij,kl} = u_{ik}u_{jl}^*$ .*

In other words,  $P\mathcal{R}(G) = \mathcal{R}(PG) \subset \mathcal{R}(G)$  is the subalgebra generated by the elements  $v_{ij,kl} = u_{ik}u_{jl}^*$ . It is clearly a Hopf  $*$ -subalgebra of  $\mathcal{R}(G)$ . In the case where  $G \subset U_n$  is classical we recover of course the well-known formula  $PG = G/(G \cap \mathbb{T})$ , where  $\mathbb{T} \subset U_n$  is the group of norm one multiples of the identity.

The following key result was proved in [12].

**Theorem 1.5.** *We have an isomorphism  $PO_n^* \simeq PU_n$ .*

*Proof.* First, thanks to the half-commutation relations between the standard coordinates on  $O_n^*$ , for any  $a, b, c, d \in \{u_{ij}\}$  we have  $abcd = cbad = cdab$ . Thus the standard coordinates on the quantum group  $PO_n^*$  commute ( $ab \cdot cd = cd \cdot ab$ ), so this quantum group is actually a classical group. A representation theoretic study, based on the diagrammatic results in [11], allows then to show this classical group is actually  $PU_n$ . See [12].  $\square$

Note that in fact the techniques developed in the present paper enable us to give a very simple proof of this theorem, avoiding the diagrammatic techniques from [11], [12]. See the last remark in Section 4.

## 2. CLASSICAL GROUP RESULTS

In this section we prove that the inclusion  $PO_n \subset PU_n$  is maximal in the category of compact groups (we assume throughout the paper that  $n \geq 2$ , otherwise there is nothing to prove). We will see later on, in Sections 3 and 4 below, that this result can be “twisted”, in order to reach to the maximality of the inclusion  $O_n \subset O_n^*$ .

Let  $\tilde{O}_n$  be the group generated by  $O_n$  and  $\mathbb{T} \cdot I_n$  (the group of multiples of identity of norm one). That is,  $\tilde{O}_n$  is the preimage of  $PO_n$  under the quotient map  $U_n \rightarrow PU_n$ . Let  $\widetilde{SO}_n \subset \tilde{O}_n$  be the group generated by  $SO_n$  and  $\mathbb{T} \cdot I_n$ . Note that  $\tilde{O}_n = \widetilde{SO}_n$  if  $n$  is odd, and if  $n$  is even then  $\tilde{O}_n$  has two connected components and  $\widetilde{SO}_n$  is the component containing the identity.

It is a classical fact that a compact matrix group is a Lie group, so  $\widetilde{SO}_n$  is a Lie group. Let  $\mathfrak{so}_n$  (resp.  $\mathfrak{u}_n$ ) be the real Lie algebras of  $SO_n$  (resp.  $U_n$ ). It is known that  $\mathfrak{u}_n$  consists of the matrices  $M \in M_n(\mathbb{C})$  satisfying  $M^* = -M$ , and  $\mathfrak{so}_n = \mathfrak{u}_n \cap M_n(\mathbb{R})$ . It is easy to see that the Lie algebra of  $\widetilde{SO}_n$  is  $\mathfrak{so}_n \oplus i\mathbb{R}$ .

First we need the following lemma:

**Lemma 2.1.** *If  $n \geq 2$ , the adjoint representation of  $SO_n$  on the space of real symmetric matrices of trace zero is irreducible.*

*Proof.* Let  $X \in M_n(\mathbb{R})$  be symmetric with trace zero, and let  $V$  be the span of  $\{UXU^t : U \in SO_n\}$ . We must show that  $V$  is the space of all real symmetric matrices of trace zero.

First we claim that  $V$  contains all diagonal matrices of trace zero. Indeed, since we may diagonalize  $X$  by conjugating with an element of  $SO_n$ ,  $V$  contains some non-zero diagonal matrix of trace zero. Now if  $D = \text{diag}(d_1, d_2, \dots, d_n)$  is a diagonal matrix in  $V$ , then by conjugating  $D$  by

$$\begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & I_{n-2} \end{pmatrix} \in SO_n$$

we have that  $V$  also contains  $\text{diag}(d_2, d_1, d_3, \dots, d_n)$ . By a similar argument we see that for any  $1 \leq i, j \leq n$  the diagonal matrix obtained from  $D$  by interchanging  $d_i$  and  $d_j$  lies in  $V$ . Since  $S_n$  is generated by transpositions, it follows that  $V$  contains any diagonal matrix obtained by permuting the entries of  $D$ . But it is well-known that this representation of  $S_n$  on diagonal matrices of trace zero is irreducible, and hence  $V$  contains all such diagonal matrices as claimed.

Now if  $Y$  is any real symmetric matrix of trace zero, we can find a  $U$  in  $SO_n$  such that  $UYU^t$  is a diagonal matrix of trace zero. But we then have  $UYU^t \in V$ , and hence also  $Y \in V$  as desired.  $\square$

**Proposition 2.2.** *The inclusion  $\widetilde{SO}_n \subset U_n$  is maximal in the category of connected compact groups.*

*Proof.* Let  $G$  be a connected compact group satisfying  $\widetilde{SO}_n \subset G \subset U_n$ . Then  $G$  is a Lie group, let  $\mathfrak{g}$  denote its Lie algebra, which satisfies  $\mathfrak{so}_n \oplus i\mathbb{R} \subset \mathfrak{g} \subset \mathfrak{u}_n$ .

Let  $ad_G$  be the action of  $G$  on  $\mathfrak{g}$  obtained by differentiating the adjoint action of  $G$  on itself. This action turns  $\mathfrak{g}$  into a  $G$ -module. Since  $SO_n \subset G$ ,  $\mathfrak{g}$  is also an  $SO_n$ -module.

Now if  $G \neq \widetilde{SO}_n$ , then since  $G$  is connected we must have  $\mathfrak{so}_n \oplus i\mathbb{R} \neq \mathfrak{g}$ . It follows from the real vector space structure of the Lie algebras  $\mathfrak{u}_n$  and  $\mathfrak{so}_n$  that there exists a non-zero symmetric real matrix of trace zero  $X$  such that  $iX \in \mathfrak{g}$ .

But by Lemma 2.1 the space of symmetric real matrices of trace zero is an irreducible representation of  $SO_n$  under the adjoint action. So  $\mathfrak{g}$  must contain all such  $X$ , and hence  $\mathfrak{g} = \mathfrak{u}_n$ . But since  $U_n$  is connected, it follows that  $G = U_n$ .  $\square$

Our aim is to extend this result to the category of compact groups. To do this we need to compute the *normalizer* of  $\widetilde{SO}_n$  in  $U_n$ , i.e. the subgroup of  $U_n$  consisting of unitary  $U$  for which  $U^{-1}XU \in \widetilde{SO}_n$  for all  $X \in \widetilde{SO}_n$ . For this we need two lemmas.

**Lemma 2.3.** *The commutant of  $SO_n$  in  $M_n(\mathbb{C})$ , denoted  $SO'_n$ , is as follows:*

- (1)  $SO'_2 = \left\{ \begin{pmatrix} \alpha & \beta \\ -\beta & \alpha \end{pmatrix}, \alpha, \beta \in \mathbb{C} \right\}$ .
- (2) If  $n \geq 3$ ,  $SO'_n = \{\alpha I_n, \alpha \in \mathbb{C}\}$ .

*Proof.* At  $n = 2$  this is a direct computation. For  $n \geq 3$ , an element in  $X \in SO'_n$  commutes with any diagonal matrix having exactly  $n - 2$  entries equal to 1 and two entries equal to  $-1$ . Hence  $X$  is a diagonal matrix. Now since  $X$  commutes with any even permutation matrix and  $n \geq 3$ , it commutes in particular with the permutation matrix associated with the cycle  $(i, j, k)$  for any  $1 < i < j < k$ , and hence all the entries of  $X$  are the same: we conclude that  $X$  is a scalar matrix.  $\square$

**Lemma 2.4.** *The set of matrices with non-zero trace is dense in  $SO_n$ .*

*Proof.* At  $n = 2$  this is clear since the set of elements in  $SO_2$  having a given trace is finite. Assume that  $n > 2$  and let  $T \in SO_n \simeq SO(\mathbb{R}^n)$  with  $Tr(T) = 0$ . Let  $E \subset \mathbb{R}^n$  be a 2-dimensional subspace preserved by  $T$  and such that  $T|_E \in SO(E)$ . Let  $\epsilon > 0$  and let  $S_\epsilon \in SO(E)$  with  $\|T|_E - S_\epsilon\| < \epsilon$  and  $Tr(T|_E) \neq Tr(S_\epsilon)$  ( $n = 2$  case). Now define  $T_\epsilon \in SO(\mathbb{R}^n) = SO_n$  by  $T_\epsilon|_E = S_\epsilon$  and  $T_\epsilon|_{E^\perp} = T|_{E^\perp}$ . It is clear that  $\|T - T_\epsilon\| \leq \|T|_E - S_\epsilon\| < \epsilon$  and that  $Tr(T_\epsilon) = Tr(S_\epsilon) + Tr(T|_{E^\perp}) \neq 0$ .  $\square$

**Proposition 2.5.**  *$\tilde{O}_n$  is the normalizer of  $\tilde{SO}_n$  in  $U_n$ .*

*Proof.* It is clear that  $\tilde{O}_n$  normalizes  $\tilde{SO}_n$ , so we must show that if  $U \in U_n$  normalizes  $\tilde{SO}_n$  then  $U \in \tilde{O}_n$ . First note that  $U$  normalizes  $SO_n$ . Indeed if  $X \in SO_n$  then  $U^{-1}XU \in \tilde{SO}_n$ , so  $U^{-1}XU = \lambda Y$  for  $\lambda \in \mathbb{T}$  and  $Y \in SO_n$ . If  $Tr(X) \neq 0$ , we have  $\lambda \in \mathbb{R}$  and hence  $\lambda Y = U^{-1}XU \in SO_n$ . The set of matrices having non-zero trace is dense in  $SO_n$  by Lemma 2.4, so since  $SO_n$  is closed and the matrix operations are continuous, we conclude that  $U^{-1}XU \in SO_n$  for all  $X \in SO_n$ .

Thus for any  $X \in SO_n$ , we have  $(UXU^{-1})^t(UXU^{-1}) = I_n$  and hence  $X^tU^tUX = U^tU$ . This means that  $U^tU \in SO'_n$ . Hence if  $n \geq 3$ , we have  $U^tU = \alpha I_n$  by Lemma 2.3, with  $\alpha \in \mathbb{T}$  since  $U$  is unitary. Hence we have  $U = \alpha^{1/2}(\alpha^{-1/2}U)$  with  $\alpha^{-1/2}U \in O_n$ , and  $U \in \tilde{O}_n$ . If  $n = 2$ , Lemma 2.3 combined with the fact that  $(U^tU)^t = U^tU$  gives again that  $U^tU = \alpha I_2$ , and we conclude as in the previous case.  $\square$

We can now extend Proposition 2.2 as follows.

**Proposition 2.6.** *The inclusion  $\tilde{O}_n \subset U_n$  is maximal in the category of compact groups.*

*Proof.* Suppose that  $\tilde{O}_n \subset G \subset U_n$  is a compact group such that  $G \neq U_n$ . It is a well known fact that the connected component of the identity in  $G$  is a normal subgroup, denoted  $G_0$ . Since we have  $\tilde{SO}_n \subset G_0 \subset U_n$ , by Proposition 2.2 we must have  $G_0 = \tilde{SO}_n$ . But since  $G_0$  is normal in  $G$ ,  $G$  normalizes  $\tilde{SO}_n$  and hence  $G \subset \tilde{O}_n$  by Proposition 2.5.  $\square$

We are now ready to state and prove the main result in this section.

**Theorem 2.7.** *The inclusion  $PO_n \subset PU_n$  is maximal in the category of compact groups.*

*Proof.* It follows directly from the observation that the maximality of  $\tilde{O}_n$  in  $U_n$  implies the maximality of  $PO_n$  in  $PU_n$ . Indeed, if  $PO_n \subset G \subset PU_n$  were an intermediate subgroup,

then its preimage under the quotient map  $U_n \rightarrow PU_n$  would be an intermediate subgroup of  $\tilde{O}_n \subset U_n$ , contradicting Proposition 2.6.  $\square$

### 3. A SHORT FIVE LEMMA

In this section we prove a short five lemma for cosemisimple Hopf algebras (Theorem 3.4 below), which is a result having its own interest, to be used in Section 4 below.

**Definition 3.1.** *A sequence of Hopf algebra maps*

$$\mathbb{C} \rightarrow B \xrightarrow{i} A \xrightarrow{p} L \rightarrow \mathbb{C}$$

is called *pre-exact* if  $i$  is injective,  $p$  is surjective and  $i(B) = A^{cop}$ , where:

$$A^{cop} = \{a \in A \mid (id \otimes p)\Delta(a) = a \otimes 1\}$$

The example that we are interested in is as follows.

**Proposition 3.2.** *Let  $A$  be an orthogonal Hopf algebra with generators  $u_{ij}$ . Assume that we have surjective Hopf algebra map  $p : A \rightarrow \mathbb{C}\mathbb{Z}_2$ ,  $u_{ij} \rightarrow \delta_{ij}g$ , where  $\langle g \rangle = \mathbb{Z}_2$ . Let  $PA$  be the projective version of  $A$ , i.e. the subalgebra generated by the elements  $u_{ij}u_{kl}$  with the inclusion  $i : PA \subset A$ . Then the sequence*

$$\mathbb{C} \rightarrow PA \xrightarrow{i} A \xrightarrow{p} \mathbb{C}\mathbb{Z}_2 \rightarrow \mathbb{C}$$

is *pre-exact*.

*Proof.* We have:

$$(id \otimes p)\Delta(u_{i_1j_1} \dots u_{i_mj_m}) = \begin{cases} u_{i_1j_1} \dots u_{i_mj_m} \otimes 1 & \text{if } m \text{ is even} \\ u_{i_1j_1} \dots u_{i_mj_m} \otimes g & \text{if } m \text{ is odd} \end{cases}$$

Thus  $A^{cop}$  is the span of monomials of even length, which is clearly  $PA$ .  $\square$

A pre-exact sequence as in Definition 3.1 is said to be exact [2] if in addition we have  $i(B)^+A = \ker(\pi) = Ai(B)^+$ , where  $i(B)^+ = i(B) \cap \ker(\varepsilon)$ . The pre-exact sequence in Proposition 3.2 is actually exact, but we only need its pre-exactness in what follows.

In order to prove the short five lemma, we use the following well-known result. We give a proof for the sake of completeness.

**Lemma 3.3.** *Let  $\theta : A \rightarrow A'$  be a Hopf algebra morphism with  $A, A'$  cosemisimple and let  $h_A, h_{A'}$  be the respective Haar integrals of  $A, A'$ . Then  $\theta$  is injective iff  $h_{A'}\theta = h_A$ .*

*Proof.* For  $a \in A$ , we have:

$$\theta(h_{A'}(\theta(a_1))a_2) = h_{A'}(\theta(a)_1)\theta(a)_2 = \theta(h_A\theta(a)1)$$

Thus if  $\theta$  is injective then  $h_{A'}\theta$  is a Haar integral on  $A$ , and the result follows from the uniqueness of the Haar integral.

Conversely, assume that  $h_A = h_{A'}\theta$ . Then for all  $a, b \in A$ , we have  $h_A(ab) = h_{A'}(\theta(a)\theta(b))$ , so if  $\theta(a) = 0$ , we have  $h_A(ab) = 0$  for all  $b \in A$ . It follows from the orthogonality relations that  $a = 0$ , and hence  $\theta$  is injective.  $\square$

**Theorem 3.4.** *Consider a commutative diagram of cosemisimple Hopf algebras*

$$\begin{array}{ccccccccc} k & \longrightarrow & B & \xrightarrow{i} & A & \xrightarrow{\pi} & L & \longrightarrow & k \\ & & \parallel & & \downarrow \theta & & \parallel & & \\ k & \longrightarrow & B & \xrightarrow{i'} & A' & \xrightarrow{\pi'} & L & \longrightarrow & k \end{array}$$

where the rows are pre-exact. Then  $\theta$  is injective.

*Proof.* We have to show that  $h_A = h_{A'}\theta$ , where  $h_A, h_{A'}$  are the respective Haar integrals of  $A, A'$ . Let  $\Lambda$  be the set of isomorphism classes of simple  $L$ -comodules and consider the Peter-Weyl decomposition of  $L$ :

$$L = \bigoplus_{\lambda \in \Lambda} L(\lambda)$$

We view  $A$  as a right  $L$ -comodule via  $(id \otimes \pi)\Delta$ . Then  $A$  has a decomposition into isotypic components as follows, where  $A_\lambda = \{a \in A \mid (id \otimes \pi) \circ \Delta(a) \in A \otimes L(\lambda)\}$ :

$$A = \bigoplus_{\lambda \in \Lambda} A_\lambda$$

It is clear that  $A_1 = A^{cop}$ . Then if  $\lambda \neq 1$ , we have  $h_A(A_\lambda) = 0$ . Indeed for  $a \in A_\lambda$ , we have:

$$a_1 \otimes \pi(a_2) \in A \otimes L(\lambda) \implies h_A(a)1 = \pi(h_A(a_1)a_2) \in L(\lambda) \implies h_A(a) = 0$$

Since  $\pi'\theta = \pi$ , it is easy to see that  $\theta(A_\lambda) \subset A'_\lambda$  and hence for  $\lambda \neq 1$ ,  $h_{A'}\theta|_{A_\lambda} = h_{A'}\theta|_{A_\lambda} = 0 = h_{A'}|_{A_\lambda}$ . For  $\lambda = 1$ , we have  $i(B) = A_1$  and  $\theta$  is injective on  $i(B)$  since  $\theta i = i'$ . Hence by Lemma 3.3 we have  $h_{A'}\theta|_{A_1} = h_{A_1} = h_{A'}|_{A_1}$ . Since  $A = \bigoplus_{\lambda \in \Lambda} A_\lambda$  we conclude  $h_A = h_{A'}\theta$  and by Lemma 3.3 we get that  $\theta$  is injective.  $\square$

It follows from discussions with Alexandru Chirvasitu that the theorem can be improved by showing that  $\theta$  is an isomorphism. Indeed, since  $L$  is assumed to be cosemisimple,  $A$  is automatically faithfully coflat as a left  $L$ -comodule, and hence by Theorem 1.4 in [18]  $i : B \rightarrow A$  and  $i' : B \rightarrow A'$  are  $L$ -Galois extensions with  $A$  and  $A'$  faithfully flat as left  $B$ -modules. Since  $\theta : A \rightarrow A'$  is an  $L$ -colinear algebra map, it follows from Remark 3.11 in [17] that  $\theta$  is an isomorphism.

#### 4. THE MAIN RESULT

We have now all the ingredients for stating and proving our main result in this paper.

**Theorem 4.1.** *The inclusion  $O_n \subset O_n^*$  is maximal in the category of compact quantum groups.*

*Proof.* Consider a sequence of surjective Hopf  $*$ -algebra maps as follows, whose composition is the canonical surjection:

$$A_o^*(n) \xrightarrow{f} A \xrightarrow{g} \mathcal{R}(O_n)$$

By Proposition 3.2 we get a commutative diagram of Hopf algebra maps with pre-exact rows:

$$\begin{array}{ccccccccc} \mathbb{C} & \longrightarrow & PA_o^*(n) & \xrightarrow{i_1} & A_o^*(n) & \xrightarrow{p_1} & \mathbb{CZ}_2 & \longrightarrow & \mathbb{C} \\ & & \downarrow f_1 & & \downarrow f & & \parallel & & \\ \mathbb{C} & \longrightarrow & PA & \xrightarrow{i_2} & A & \xrightarrow{p_2} & \mathbb{CZ}_2 & \longrightarrow & \mathbb{C} \\ & & \downarrow g_1 & & \downarrow g & & \parallel & & \\ \mathbb{C} & \longrightarrow & PR(O_n) & \xrightarrow{i_3} & \mathcal{R}(O_n) & \xrightarrow{p_3} & \mathbb{CZ}_2 & \longrightarrow & \mathbb{C} \end{array}$$

Consider now the following composition, with the isomorphism on the left coming from Theorem 1.5:

$$\mathcal{R}(PU_n) \simeq PA_o^*(n) \xrightarrow{f_1} PA \xrightarrow{g_1} PR(O_n) \simeq \mathcal{R}(PO_n)$$

This induces, at the group level, the embedding  $PO_n \subset PU_n$ . By Theorem 2.7  $f_1$  or  $g_1$  is an isomorphism. If  $f_1$  is an isomorphism we get a commutative diagram of Hopf algebra morphisms with pre-exact rows:

$$\begin{array}{ccccccccc} \mathbb{C} & \longrightarrow & PA_o^*(n) & \xrightarrow{i_1} & A_o^*(n) & \xrightarrow{p_1} & \mathbb{CZ}_2 & \longrightarrow & \mathbb{C} \\ & & \parallel & & \downarrow f & & \parallel & & \\ \mathbb{C} & \longrightarrow & PA_o^*(n) & \xrightarrow{i_2 \circ f_1} & A & \xrightarrow{p_2} & \mathbb{CZ}_2 & \longrightarrow & \mathbb{C} \end{array}$$

Then  $f$  is an isomorphism by Theorem 3.4. Similarly if  $g_1$  is an isomorphism, then  $g$  is an isomorphism.  $\square$

Observe that the technique in the proof of Theorem 4.1 also enables us to prove that  $PO_n^* \simeq PU_n$  independently from [12]. Indeed, since  $PA_o^*(n)$  is commutative, there exists a compact group  $G$  with  $PA_o^*(n) \simeq \mathcal{R}(G)$  and  $PO_n \subset G \subset PU_n$ . Then Theorem 2.7 gives  $G = PO_n$  or  $G = PU_n$ . If  $G = PO_n$ , then as in the proof of Theorem 4.1, Theorem 3.4 gives that  $A_o^*(n) \twoheadrightarrow \mathcal{R}(O_n)$  is an isomorphism, which is false since  $A_o^*(n)$  is not commutative if  $n \geq 2$ . Hence  $G = PU_n$ .

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T.B.: DEPARTMENT OF MATHEMATICS, CERGY-PONTOISE UNIVERSITY, 95000 CERGY-PONTOISE, FRANCE. [teodor.banica@u-cergy.fr](mailto:teodor.banica@u-cergy.fr)

J.B.: DEPARTMENT OF MATHEMATICS, CLERMONT-FERRAND UNIVERSITY, CAMPUS DES CEZEAUX, 63177 AUBIERE CEDEX, FRANCE. [bichon@math.univ-bpclermont.fr](mailto:bichon@math.univ-bpclermont.fr)

B.C.: DEPARTMENT OF MATHEMATICS, LYON 1 UNIVERSITY, AND UNIVERSITY OF OTTAWA, 585 KING EDWARD, OTTAWA, ON K1N 6N5, CANADA. [bcollins@uottawa.ca](mailto:bcollins@uottawa.ca)

S.C.: DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, LOS ANGELES, CA 90095, USA. [curransr@math.ucla.edu](mailto:curransr@math.ucla.edu)