

# Subfactors of small index and big index

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"Introduction to subfactor theory", 6/6

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# Subfactors

Theorem. Consider a subfactor  $A_0 \subset A_1$ , of finite index  $N \in [1, \infty)$ .  
Build by "basic construction" the associated Jones tower:

$$A_0 \subset_{e_1} A_1 \subset_{e_2} A_2 \subset_{e_3} A_3 \subset \dots$$

The Jones projections generate then a copy of  $TL_N$ :

$$TL_N = \langle e_1, e_2, e_3, \dots \rangle$$

The planar algebra structure of  $TL_N$  extends into a planar algebra structure of the graded algebra  $P = (P_k)$ , where

$$P_k = A_0' \cap A_k$$

are the higher relative commutants, which are FD algebras.

# Theory

Theorem 1. The subfactors  $A_0 \subset A_1$  having "finite depth" are classified by their planar algebras  $P = (P_k)$ .

Theorem 2. More generally, the "amenable" subfactors  $A_0 \subset A_1$  are classified by their planar algebras  $P = (P_k)$ .

Theorem 3. In general, any planar algebra produces a subfactor (complementing "any subfactor produces a planar algebra").

Question. What are the planar algebras of the subfactors of the Murray-von Neumann hyperfinite factor  $R$ ?

# Invariants

The good. The spectral measure  $\mu$ , having as moments:

$$M_k = \dim(P_k)$$

The bad. The Poincaré series, Stieltjes transform of  $\mu$ :

$$f(z) = \sum_{k=0}^{\infty} \dim(P_k) z^k$$

The ugly. The principal graph, Bratelli diagram of

$$P_0 \subset P_1 \subset P_2 \subset \dots$$

with the reflections coming from basic constructions removed.

## Small index $1/4$

Theorem. The subfactors of index  $N \leq 4$ , which must satisfy

$$N \in \left\{ 4 \cos^2 \left( \frac{\pi}{n} \right) \mid n \in \mathbb{N} \right\}$$

are subject to an ADE classification result.

For spin model subfactors, and at  $N = 4$ , this is related to:

Theorem. The quantum groups  $G \subset S_4^+$  appear via

$$S_4^+ = SO_3^{-1}$$

as twists of the usual ADE subgroups of  $SO_3$ .

## Small index 2/4

Invariants. In general,  $N \leq 4$ , these can be computed as follows:

- (1) The principal graphs are ADE.
- (2) The Poincaré series coefficients count loops on these graphs.
- (3) The spectral measures can be recovered by Stieltjes.

At  $N = 4$  we can simply compute laws of characters.

Advanced. The Jones manipulation on the Poincaré series,

$$\Theta(q) = q + \frac{1-q}{1+q} f\left(\frac{q}{(1+q)^2}\right)$$

blows up the spectral measure on  $\mathbb{T}$ . Very simple formulae.

## Small index 3/4

Theorem. The subfactors of index  $N \leq 5$  and a bit higher can be fully classified, by using advanced planar algebra techniques.

For spin model subfactors, and at  $N = 5$ , this is related to:

Theorem. The quantum groups  $G \subset S_5^+$  can be fully classified, by using the above subfactor classification result.

## Small index 4/4

Question 1. What is the correct blowup of the spectral measure, in index 5, and more generally, in the "understood" index range?

Question 2. As a consequence, the inclusion  $S_N \subset S_N^+$  follows to be maximal at  $N = 4, 5$ . What about  $N = 6$ , and in general?

Question 3. What is the natural extra assumption to be added, as for the subfactors of index 6 to become classifiable?

# Big index 1/6

Motivation. The various mathematical "objects", once classified by classification theorems, fall into two classes:

(1) Serial.

(2) Exceptional.

This happens for instance for the simple Lie algebras, or for the complex reflection groups. There are many other examples.

## Big index 2/6

There are many "uniform" constructions of subfactors. In the quantum group context, the uniformity comes via:

Definition. A closed subgroup  $G \subset U_N^+$  is called easy when

$$\text{Hom}(u^{\otimes k}, u^{\otimes l}) = \text{span} \left( T_\pi \mid \pi \in D(k, l) \right)$$

for a certain category of partitions  $D \subset P$ , where

$$T_\pi(e_{i_1} \otimes \dots \otimes e_{i_k}) = \sum_{j_1 \dots j_l} \delta_\pi \begin{pmatrix} i_1 & \dots & i_k \\ j_1 & \dots & j_l \end{pmatrix} e_{j_1} \otimes \dots \otimes e_{j_l}$$

with  $\delta_\pi \in \{0, 1\}$  depending on whether the indices fit or not.

# Big index 3/6

Theorem. The basic unitary quantum groups, namely

$$\begin{array}{ccc} O_N^+ & \longrightarrow & U_N^+ \\ \uparrow & & \uparrow \\ O_N & \longrightarrow & U_N \end{array}$$

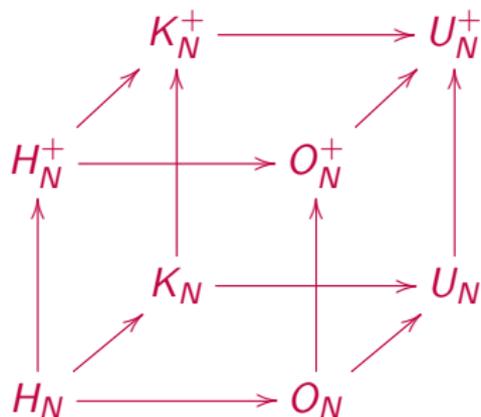
are all easy, coming from the following categories of pairings:

$$\begin{array}{ccc} \mathcal{NC}_2 & \longleftarrow & \mathcal{NC}_2 \\ \downarrow & & \downarrow \\ \mathcal{P}_2 & \longleftarrow & \mathcal{P}_2 \end{array}$$

The spectral measures are normal  $\mathbb{R}/\mathbb{C}$  and  $n/\circ$  with  $N \rightarrow \infty$ .

## Big index 4/6

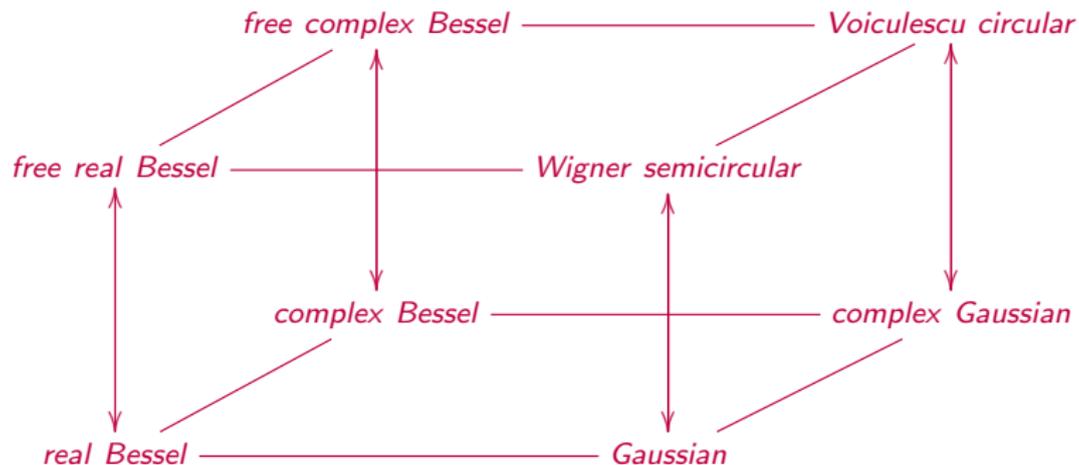
Theorem. The main unitary and reflection quantum groups



are all easy, coming from various basic categories of partitions.

# Big index 5/6

Theorem. The asymptotic laws of truncated characters are



with the vertical arrows standing for the Bercovici-Pata bijection.

## Big index 6/6

Various questions, which are under current investigation:

(1) Classification of the easy quantum groups.

(2) Various extensions of the easiness theory.

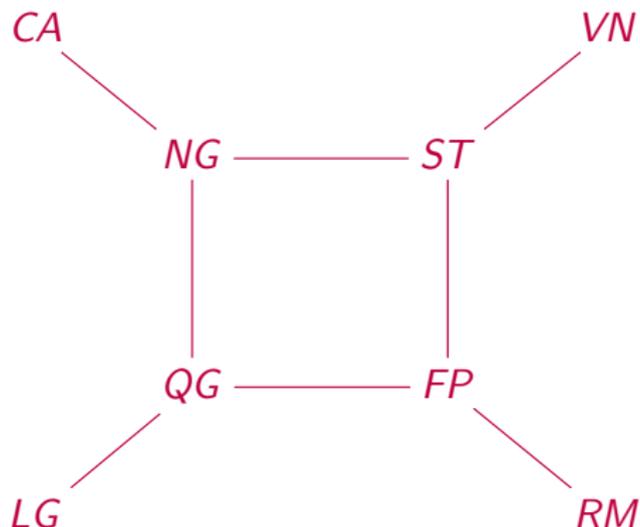
(3) In particular, the super-quizziness problem.

(4) Extensions covering the noncommutative tori.

All this is a mixture of QG, NG, FP, of interest for ST.

## Conclusion

When looking for "serial subfactors", we are led to the scheme



for operator algebras in general, with the hot stuff in the middle.

## Question

What about  $R$ ?