

# Representations of compact groups

Teo Banica

"Introduction to matrix groups", 5/6

08/20

# Representations 1/3

Definition. Given a closed subgroup  $G \subset U_N$ , its representations are the continuous morphisms into unitary groups:

$$\rho : G \rightarrow U_n$$

As a basic example, we have the embedding  $G \subset U_N$ , called fundamental representation, and denoted  $\pi$ .

Comment. We will assume that our representations are "smooth", in the sense that their coefficients are polynomials of  $g_{ij}$ .

## Representations 2/3

Definition. The representations of  $G$  are subject to:

(1) Making sums:  $\rho + \nu : \mathfrak{g} \rightarrow \text{diag}(\rho(\mathfrak{g}), \nu(\mathfrak{g}))$ .

(2) Making products:  $\rho \otimes \nu : \mathfrak{g} \rightarrow \rho(\mathfrak{g}) \otimes \nu(\mathfrak{g})$ .

(3) Taking conjugates:  $\bar{\rho} : \mathfrak{g} \rightarrow \overline{\rho(\mathfrak{g})}$ .

Definition. Given  $G \subset_{\pi} U_N$ , its Peter-Weyl representations

$$\pi^{\otimes k}, \quad k = \circ \bullet \bullet \circ \dots$$

are the representations obtained by tensoring  $\pi, \bar{\pi}$ .

## Representations 3/3

Definition. Given  $\rho : G \rightarrow U_n$  and  $\nu : G \rightarrow U_m$ , we set:

$$\text{Hom}(\rho, \nu) = \left\{ T \in M_{m \times n}(\mathbb{C}) \mid T\rho(g) = \nu(g)T \right\}$$

and we use the following conventions:

- (1)  $\text{Fix}(\rho) = \text{Hom}(1, \rho)$  and  $\text{End}(\rho) = \text{Hom}(\rho, \rho)$ .
- (2)  $\rho \sim \nu$  when  $\text{Hom}(\rho, \nu)$  contains an invertible element.
- (3)  $\rho$  is called irreducible,  $\rho \in \text{Irr}(G)$ , when  $\text{End}(\rho) = \mathbb{C}1$ .

Definition. Given  $G \subset_{\pi} U_N$ , the collection of vector spaces

$$C_{kl} = \text{Hom}(\pi^{\otimes k}, \pi^{\otimes l})$$

with  $k, l = \circ \bullet \bullet \circ \dots$  is called Tannakian category of  $G$ .

# Peter-Weyl 1/7

Theorem (PW1). Any representation  $\rho : G \rightarrow U_n$  decomposes as

$$\rho = \rho_1 + \dots + \rho_k$$

direct sum of irreducible representations.

Proof. Consider the intertwiner algebra of our representation:

$$A = \text{End}(\rho) \subset M_n(\mathbb{C})$$

By writing its unit as  $1 = q_1 + \dots + q_k$ , with  $q_i$  being minimal projections, we obtain a decomposition as follows:

$$A = M_{n_1}(\mathbb{C}) \oplus \dots \oplus M_{n_k}(\mathbb{C})$$

We can now define a subrepresentation  $\rho_i$  by restricting  $\rho$  to the space  $\text{Im}(q_i)$ , which is invariant, and the result follows.

## Peter-Weyl 2/7

Theorem (PW2). Any irreducible representation  $\rho : G \rightarrow U_n$  appears inside a certain Peter-Weyl representation  $\pi^{\otimes k}$ .

Proof. Given a representation  $\rho : G \rightarrow U_n$ , consider its space of coefficients,  $C_\rho = \text{span}(g \rightarrow \rho(g)_{ij})$ . Then  $\rho \rightarrow C_\rho$  is functorial, mapping subrepresentations into subspaces. We have:

$$\langle C_\pi \rangle = \sum_k C_{\pi^{\otimes k}}$$

By smoothness,  $C_\rho \subset \langle C_\pi \rangle$ , for certain exponents  $k_1, \dots, k_p$ :

$$C_\rho \subset C_{\pi^{\otimes k_1} \oplus \dots \oplus \pi^{\otimes k_p}}$$

Thus we have  $\rho \subset \pi^{\otimes k_1} \oplus \dots \oplus \pi^{\otimes k_p}$ , and PW1 gives the result.

Theorem. Any closed subgroup  $G \subset U_N$  has a Haar measure

$$\mu(gE) = \mu(Eg) = \mu(E)$$

which can be constructed by starting with any probability measure  $\nu$ , and taking the following Cesàro limit:

$$\mu = \lim_{r \rightarrow \infty} \frac{1}{r} \sum_{k=1}^r \nu^{*k}$$

Moreover, for any representation  $\rho : G \rightarrow U_n$ , the matrix

$$P = \left( \int_G \rho(g)_{ij} dg \right)_{ij}$$

is the projection onto  $\text{Fix}(\rho) = \{\xi \in \mathbb{C}^n \mid \rho(g)\xi = \xi\}$ .

## Peter-Weyl 4/7

Proof. Our first claim is that given any positive mass 1 measure  $\nu$  on our group  $G$ , not necessarily strictly positive, the limit

$$\int_G f d\nu = \lim_{r \rightarrow \infty} \frac{1}{r} \sum_{k=1}^r \int_G f(g) d\nu^{*k}(g)$$

exists, and for any representation  $\rho : G \rightarrow U_n$ , the matrix

$$P = \left( \int_G \rho(g)_{ij} dg \right)_{ij}$$

is the projection onto the 1-eigenspace of the matrix:

$$M = \left( \int_G \rho(g)_{ij} d\nu(g) \right)_{ij}$$

This is indeed standard algebra, on the coefficient space  $C_\rho$ .



## Peter-Weyl 5/7

End of proof. Assuming now that  $\nu$  is strictly positive, we must prove that  $M\xi = \xi$  implies  $\xi \in \text{Fix}(\rho)$ . Let us set:

$$f(g) = \sum_i \left( \sum_j \rho(g)_{ij} \xi_j - \xi_i \right) \overline{\left( \sum_k \rho(g)_{ik} \xi_k - \xi_i \right)}$$

We must prove that  $f = 0$ . Since  $\rho(g)$  is unitary, we obtain:

$$f(g) = 2 \left( \|\xi\|^2 - \text{Re}(\langle \rho(g)\xi, \xi \rangle) \right)$$

By using now  $M\xi = \xi$ , we obtain from this, by integrating:

$$\int_G f(g) d\nu(g) = 0$$

Thus we have  $f = 0$ , and so  $\xi \in \text{Fix}(\rho)$ , as desired.

## Peter-Weyl 6/7

Theorem (PW3). The space  $\mathcal{C}(G) = \langle C_\pi \rangle$  decomposes as

$$\mathcal{C}(G) = \bigoplus_{\rho \in \text{Irr}(A)} M_{\dim(\rho)}(\mathbb{C})$$

the summands being pairwise orthogonal with respect to  $\int_G$ .

Proof. We must prove that for  $\rho, \nu \in \text{Irr}(G)$  we have:

$$\rho \not\sim \nu \implies C_\rho \perp C_\nu$$

The matrix  $P$  given by  $P_{ia,jb} = \int_G \rho_{ij} \bar{\nu}_{ab}$  is the projection onto:

$$\text{Fix}(\rho \otimes \bar{\nu}) \simeq \text{Hom}(\rho, \nu) = \{0\}$$

Thus we have  $P = 0$ , and this gives the result.

## Peter-Weyl 7/7

Theorem (PW4). The characters of irreducible representations

$$\chi_\rho : G \rightarrow \mathbb{C} \quad , \quad g \rightarrow \text{Tr}(\rho(g))$$

belong to the algebra of “smooth central functions”

$$\mathcal{C}(G)_{\text{central}} = \left\{ f \in \mathcal{C}(G) \mid f(gh) = f(hg) \right\}$$

and form an orthonormal basis of it.

Proof. The only tricky assertion is the norm 1 one. But:

$$\int_G \chi_\rho \bar{\chi}_\rho = \sum_{ij} \int_G \rho_{ii} \bar{\rho}_{jj} = \sum_i \frac{1}{N} = 1$$

Here we have used the fact that the integrals  $\int_G \rho_{ij} \bar{\rho}_{kl}$  form the orthogonal projection onto  $\text{Fix}(\rho \otimes \bar{\rho}) \simeq \text{End}(\rho) = \mathbb{C}1$ .

## Easiness 1/3

Theorem. The closed subgroups  $G \subset U_N$  are in correspondence with the Tannakian categories  $\mathcal{C} = (\mathcal{C}_{kl})$ , via the construction

$$\mathcal{C}_{kl} = \text{Hom}(\pi^{\otimes k}, \pi^{\otimes l})$$

in one sense, and via the construction

$$G = \left\{ g \in U_N \mid Tg^{\otimes k} = g^{\otimes l}T, \forall T \in \mathcal{C} \right\}$$

in the other sense.

Proof. This is something quite technical, basically due to Tannaka and Krein, and heavily using the Peter-Weyl theory.

## Easiness 2/3

Definition. A collection of subsets  $D(k, l) \subset P(k, l)$  is called a category of partitions when it satisfies:

- (1) Stability under the horizontal concatenation,  $(\pi, \sigma) \rightarrow [\pi\sigma]$ .
- (2) Stability under vertical concatenation  $(\pi, \sigma) \rightarrow \left[ \begin{array}{c} \sigma \\ \pi \end{array} \right]$  (matching).
- (3) Stability under the upside-down turning  $*$ , with  $\circ \leftrightarrow \bullet$ .
- (4) Each  $P(k, k)$  contains the identity partition  $|| \dots ||$ .
- (5) Both  $P(\emptyset, \circ\bullet)$  and  $P(\emptyset, \bullet\circ)$  contain the semicircle  $\cap$ .

Definition. A closed subgroup  $G \subset U_N$  is called easy when

$$\text{Hom}(\pi^{\otimes k}, \pi^{\otimes l}) = \text{span} \left( T_\pi \Big| \pi \in D(k, l) \right)$$

for a certain category of partitions  $D \subset P$ , where

$$T_\pi(e_{i_1} \otimes \dots \otimes e_{i_k}) = \sum_{j_1 \dots j_l} \delta_\pi \begin{pmatrix} i_1 & \dots & i_k \\ j_1 & \dots & j_l \end{pmatrix} e_{j_1} \otimes \dots \otimes e_{j_l}$$

with  $\delta_\pi \in \{0, 1\}$  depending on whether the indices fit or not.

## Examples 1/2

Theorem. The basic unitary and reflection groups, namely

$$\begin{array}{ccc} O_N & \longrightarrow & U_N \\ \uparrow & & \uparrow \\ H_N & \longrightarrow & K_N \end{array}$$

are all easy, coming from the following categories of partitions:

$$\begin{array}{ccc} \mathcal{P}_2 & \longleftarrow & \mathcal{P}_2 \\ \downarrow & & \downarrow \\ \mathcal{P}_{\text{even}} & \longleftarrow & \mathcal{P}_{\text{even}} \end{array}$$

Proof. This result, due to Brauer, and also known as Schur-Weyl duality, comes from Tannaka, by working out the details.

## Examples 2/2

In addition to the above, it is known that:

- (1) In the continuous case, the bistochastic groups  $B_N \subset O_N$  and  $C_N \subset U_N$  are easy as well, coming from  $P_{12}, \mathcal{P}_{12}$ .
- (2) In the discrete case,  $S_N$  is easy as well, coming from  $P$  itself. In fact, the reflection groups  $H_N^s$  are all easy, coming from  $P^s$ .
- (3) Back to the continuous case,  $SU_2$ ,  $SO_3$  and  $Sp_N \subset U_N$  are not easy. However, they are "super-easy" in a suitable sense.
- (4) However, the general  $SO_N$ ,  $SU_N$ , and other groups constructed using  $\det$ , such as  $H_N^{sd}$ , are definitely not easy.